

# Soft and Hard Diffraction

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Small  $x$  and Diffraction 2003  
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# Contents

## SOFT DIFFRACTION

- ✓  $M^2$ -scaling
- ✓ Triple-pomeron coupling  $\rightarrow$  relate to color factors
- ✓ Derive full differential cross section from parton model
- ✓ Multi-gap diffraction

## HARD DIFFRACTION

- ❖ Diffractive structure function  $\rightarrow$  derive from proton PDFs
  - $\beta$  and  $\xi$  dependence
  - Regge and QCD factorization

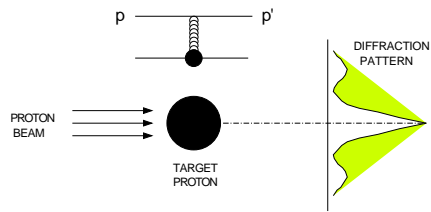
## □ HERA versus TEVATRON

- Normalization
- $\beta$  dependence

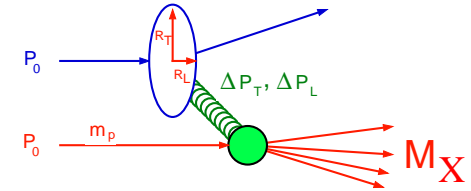
# Classical Picture of Diffraction

## Elastic Scattering and Diffraction Dissociation

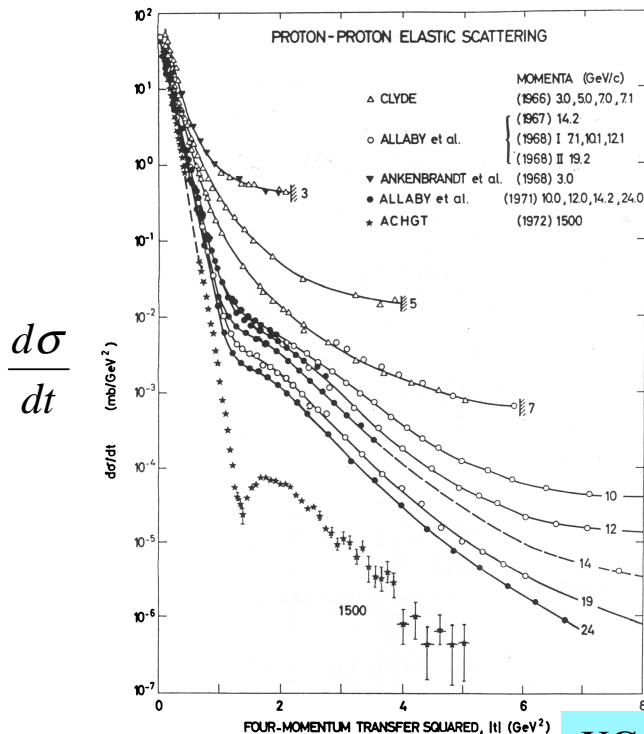
PROTON-PROTON ELASTIC SCATTERING



Diffraction dissociation



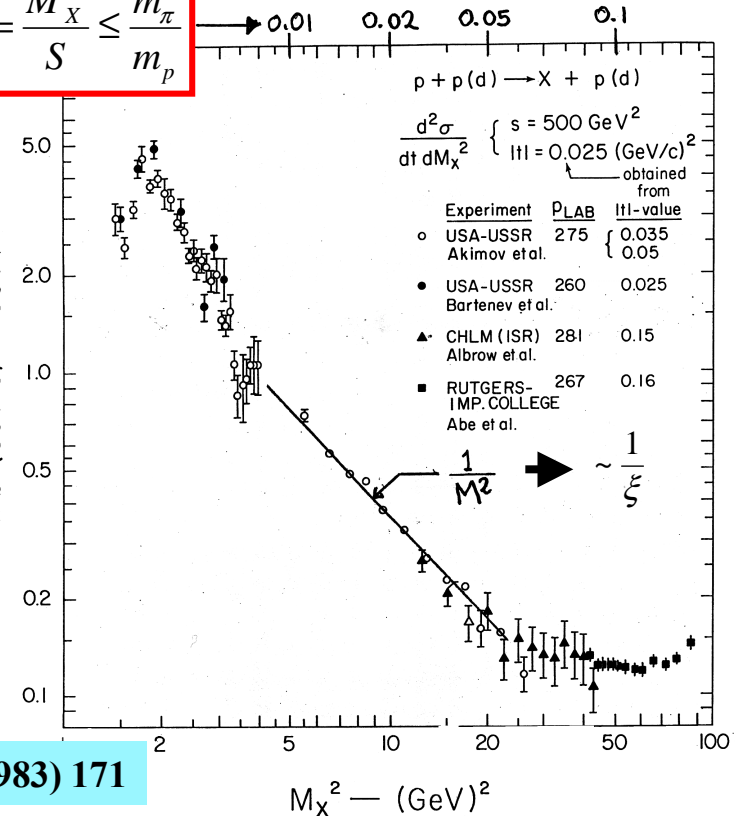
$$\xi = \frac{\Delta P_L}{P_L} = \frac{M_X^2}{S} \leq \frac{m_\pi}{m_p}$$



Coherence

$$\frac{d^2\sigma}{dt dM_X^2}$$

mb · (GeV/c)<sup>-2</sup> · GeV<sup>-2</sup>



KG, Phys. Rep. 101 (1983) 171

# Diffraction and Rapidity Gaps

✓ rapidity gaps are regions of pseudorapidity devoid of particles

## □ Non-diffractive interactions:

Rapidity gaps are formed by multiplicity fluctuations.

**From Poisson statistics:**

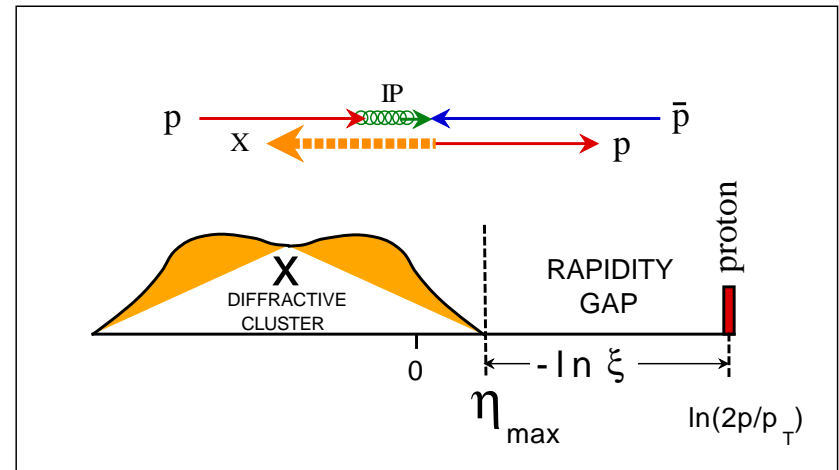
$$P(\Delta\eta) = e^{-\rho\Delta\eta} \left( \rho = \frac{dN}{d\eta} \right)$$

( $\rho$ =particle density in rapidity space)

**Gaps are exponentially suppressed**

## □ Diffractive interactions:

Rapidity gaps are due to absence of radiation in “vacuum exchange”



$$\Delta\eta \approx -\ln \xi = \ln s - \ln M^2$$

$$\frac{d\sigma}{dM^2} \sim \frac{1}{M^2} \quad \rightarrow \quad \frac{d\sigma}{d\Delta y} \sim \text{constant}$$

✓ large rapidity gaps are signatures for diffraction

# The Pomeron in QCD

- Quark/gluon exchange across a rapidity gap:

**POMERON**


- No particles radiated in the gap:

the exchange is **COLOR-SINGLET** with quantum numbers of vacuum

- Rapidity gap formation:

**NON-PERTURBATIVE**

- Diffraction probes the large distance aspects of QCD:

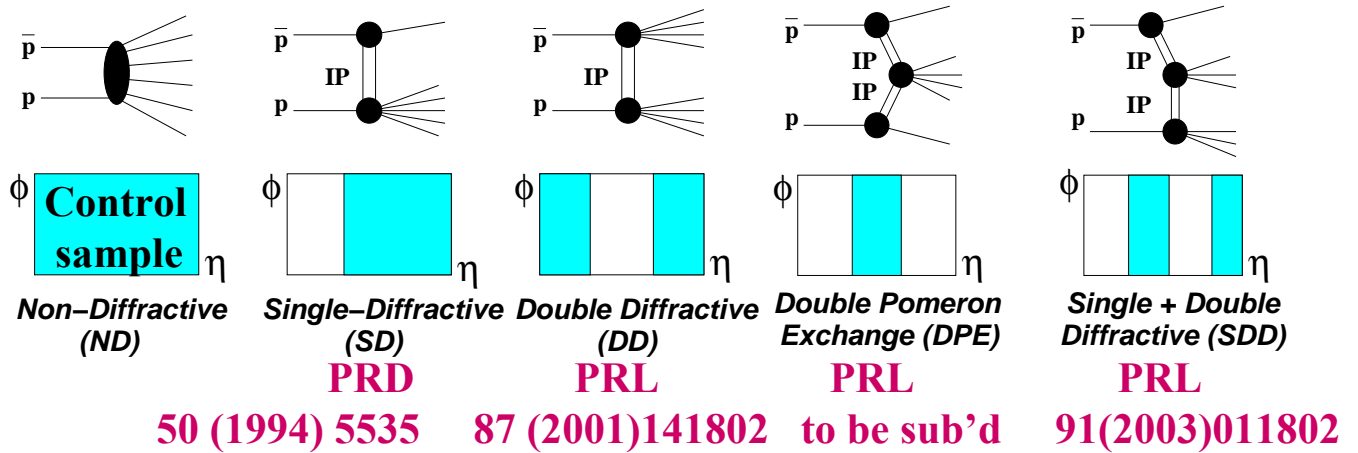
**POMERON**  **CONFINEMENT**

- PARTONIC STRUCTURE
- FACTORIZATION

# Diffraction at CDF in Run I

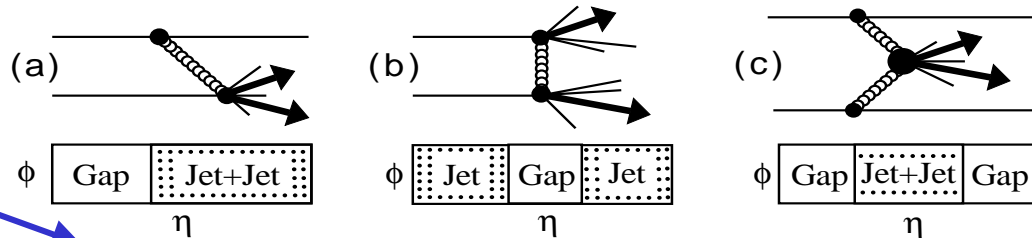
- ❑ Elastic scattering PRD 50 (1994) 5518
- ❑ Total cross section PRD 50 (1994) 5550
- ❑ Diffraction

## SOFT diffraction



## HARD diffraction

PRL reference



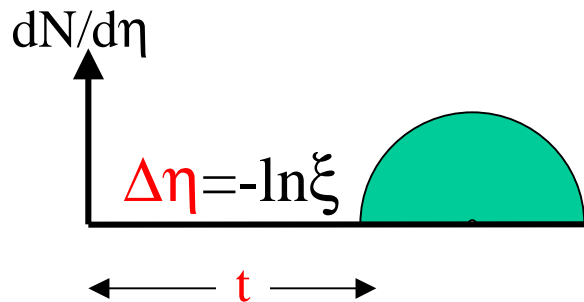
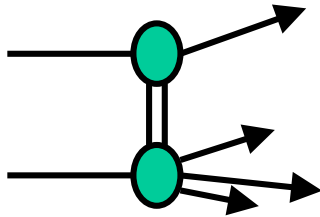
with roman pots

JJ	84 (2000) 5043
JJ	88 (2002) 151802

W	78 (1997) 2698	JJ	74 (1995) 855	JJ	85 (2000) 4217
JJ	79 (1997) 2636	JJ	80 (1998) 1156		
b-quark	84 (2000) 232	JJ	81 (1998) 5278		
J/ $\psi$	87 (2001) 241802				

# Single Diffraction

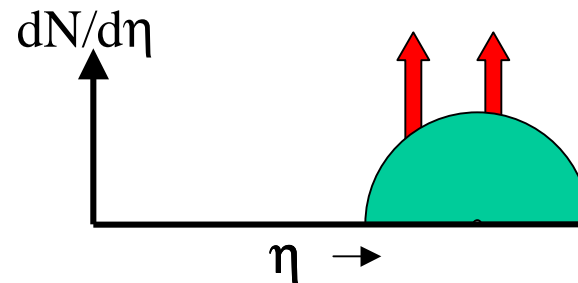
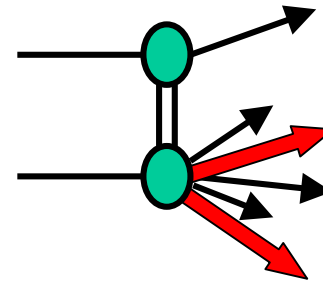
## □ SOFT DIFFRACTION



$\xi = \Delta P_L / P_L$  fractional momentum loss of scattered hadron

Variables:  $(\xi, t)$  or  $(\Delta\eta, t)$

## □ HARD DIFFRACTION



Additional variables:  $(x, Q^2)$

$$x = \sum E_T^{jet} e^{-\eta^{jet}} / \sqrt{s}$$

$$x = \beta \xi \leq \xi$$

**Questions:** universality of gap formation and of diffractive PDF's

# parton model

# Soft diffraction

## Factorization & (re)normalization

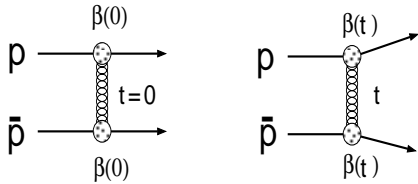
### Regge

$$\sigma_T = \sigma_o s^\epsilon = \sigma_o e^{\epsilon \ln s} \iff \sigma_o s^{\alpha_{IP}(0)-1}$$

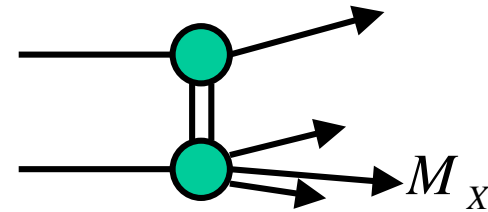
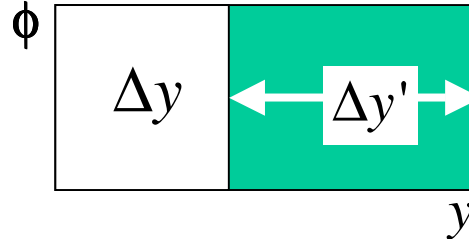
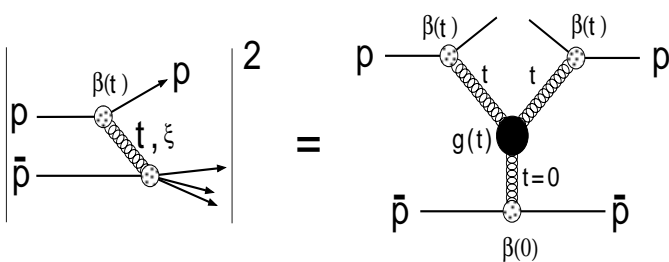
$$\alpha_{IP}(t) = 1 + \epsilon + \alpha' t$$

Pomeron trajectory

TOTAL CROSS SECTION ELASTIC SCATTERING



SINGLE DIFFRACTION DISSOCIATION



$$\begin{aligned} &\leftarrow \ln M_X^2 \rightarrow \\ &\leftarrow \ln s \rightarrow \end{aligned}$$

$$\Delta y = \ln s - \Delta y'$$

$$\frac{d^2 \sigma}{d\Delta y' dt} = f_{IP/p}(\Delta y, t) \times \sigma_{IP-p}(\Delta y')$$

$$C \cdot F_p^2(t) \cdot \left( e^{[\epsilon + \alpha' t] \Delta y} \right)^2 \cdot \mathcal{K} \times \sigma_o e^{\epsilon \Delta y'}$$

Renormalize to unity  
KG, PLB 358 (1995) 379

Gap probability

$$\mathcal{K} = \frac{g_{IP-IP-IP}(t)}{\beta_{IP-p}(0)}$$

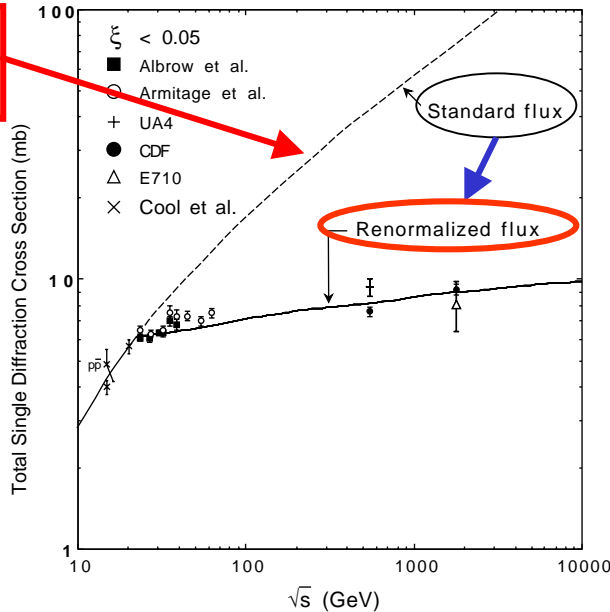
COLOR FACTOR



# Soft Single Diffraction Data

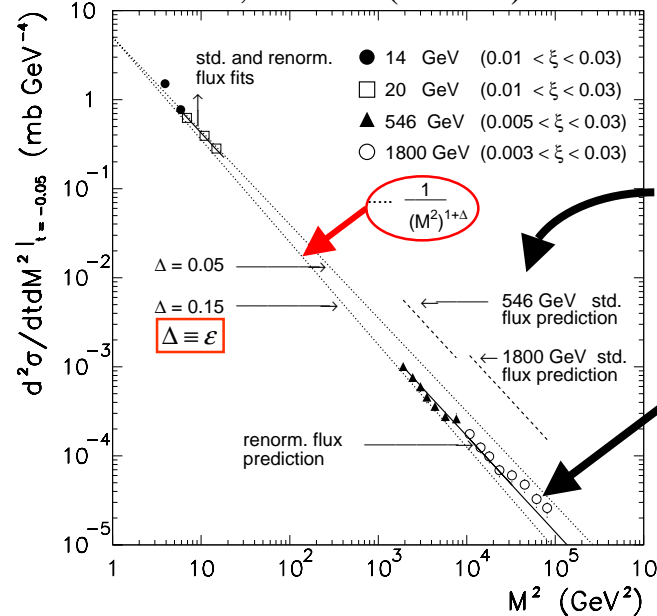
$$p(\bar{p}) + p \rightarrow p(\bar{p}) + X$$

Total cross section  
KG, PLB 358 (1995) 379



$$\sigma \sim s^{2\epsilon}$$

Differential cross section  
KG&JM, PRD 59 (114017) 1999



**REGGE**

$$\frac{d\sigma}{dM^2} \propto \frac{s^{2\epsilon}}{(M^2)^{1+\epsilon}}$$

**RENORM**

$$\frac{d\sigma}{dM^2} \propto \frac{1}{(M^2)^{1+\epsilon}}$$

s-independent

**M<sup>2</sup> SCALING**

- ❑ Differential shape agrees with Regge
- ❑ Normalization is suppressed by factor  $\propto s^{2\epsilon}$
- ❑ Renormalize Pomeron flux factor to unity

# The color factor $K$

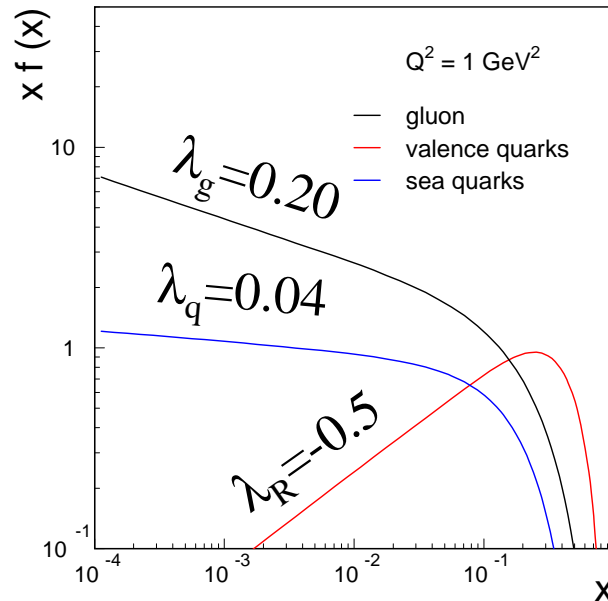
Experimentally:

$$K = \frac{g_{IP-IP-IP}}{\beta_{IP-p}} = 0.17 \pm 0.02 \quad \leftarrow \text{KG\&JM, PRD 59 (114017) 1999}$$

Theoretically:

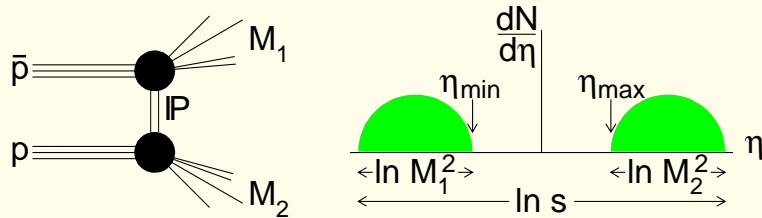
$$K = f_g \times \frac{1}{N_c^2 - 1} + f_q \times \frac{1}{N_c} \xrightarrow{Q^2 \rightarrow 0} \approx 0.75 \times \frac{1}{8} + 0.25 \times \frac{1}{3} = 0.18$$

$$x \cdot f(x) = \frac{1}{x^\lambda}$$



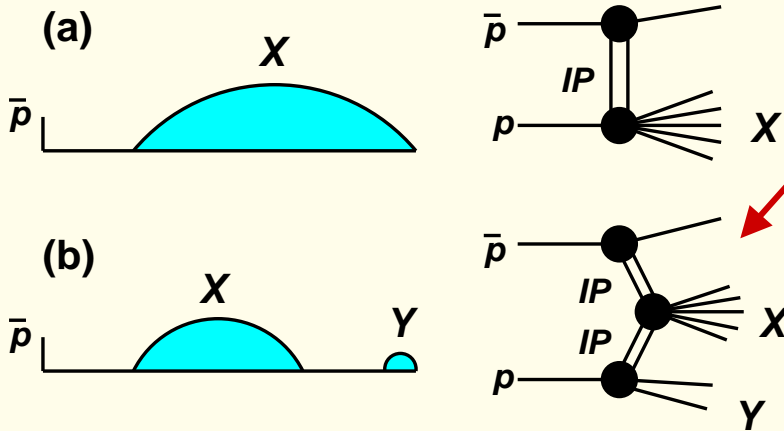
$$\varepsilon = \lambda_g \cdot w_g + \lambda_q \cdot w_q = 0.12$$

# Central and Double Gaps



## □ Double diffraction

➤ Plot #Events versus  $\Delta\eta$

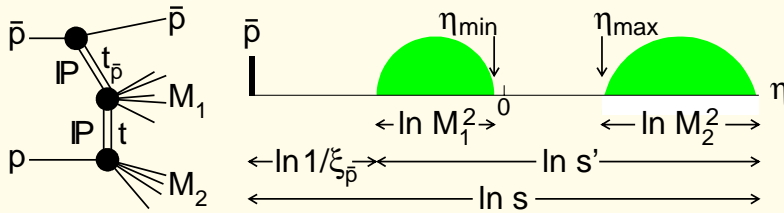


## □ Double Pomeron Exchange

➤ Measure

$$\xi_p = \frac{1}{\sqrt{s}} \sum_{\text{all particles}} E_T^i \cdot e^{\eta_i}$$

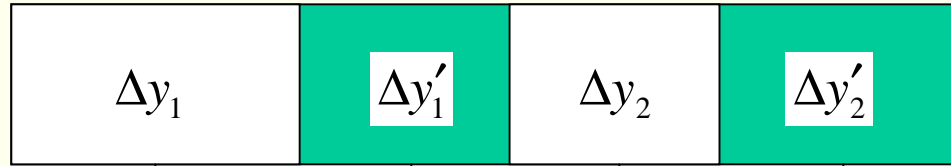
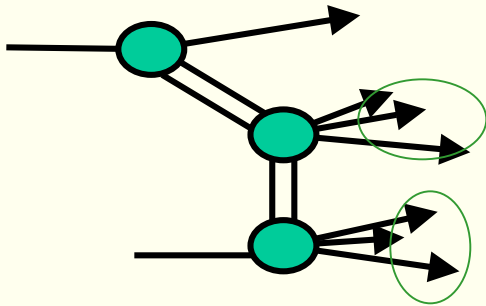
➤ Plot #Events versus  $\log(\xi)$



## □ **SDD: single+double diffraction**

➤ **Central gaps in SD events**

# Two-Gap Diffraction (hep-ph/0205141)



5 independent variables

$$\left\{ \begin{array}{c} t_1 \\ \Delta y = \Delta y_1 + \Delta y_2 \\ t_2 \end{array} \right.$$

color factor

$$\frac{d^5 \sigma}{\prod_{i=1-5} dV_i} = C \times F_p^2(t_1) \prod_{i=1-2} \left\{ e^{(\varepsilon + \alpha' t_i) \Delta y_i} \right\}^2 \times \kappa^2 \left\{ \sigma_o e^{\varepsilon(\Delta y'_1 + \Delta y'_2)} \right\}$$

Gap probability

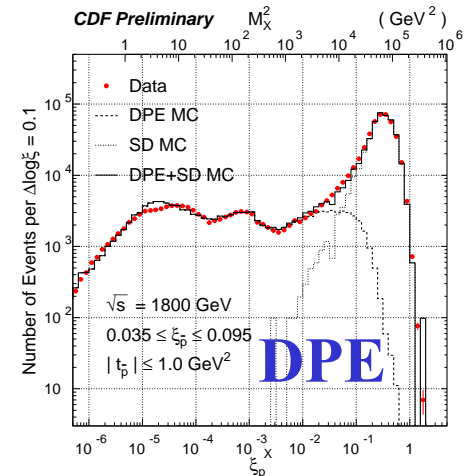
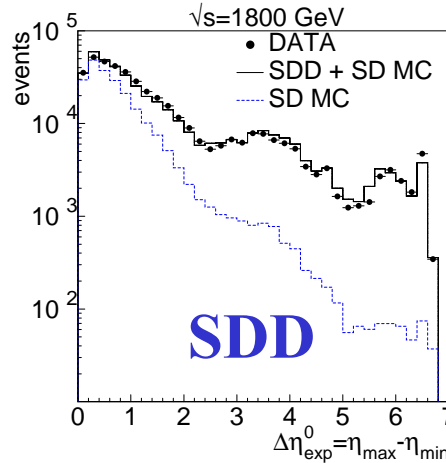
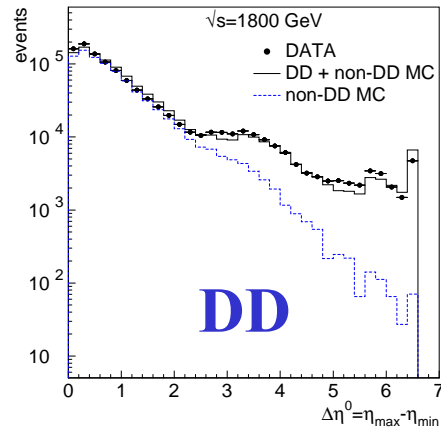
Sub-energy cross section  
(for regions with particles)

$$\text{Integral} \sim s^{2\varepsilon} \leftarrow \sim e^{2\varepsilon \Delta y}$$

Renormalization removes the s-dependence → SCALING

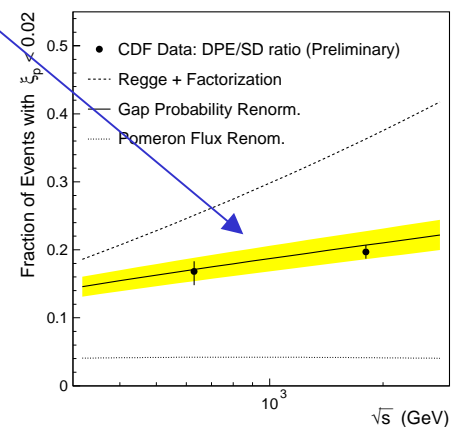
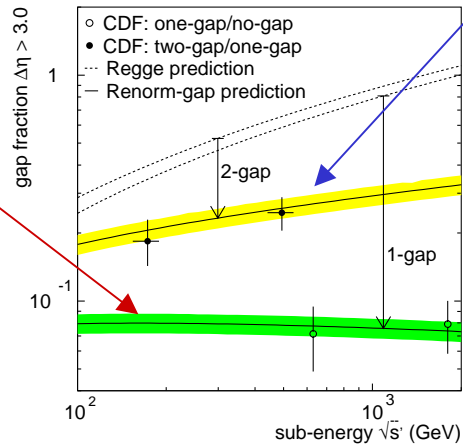
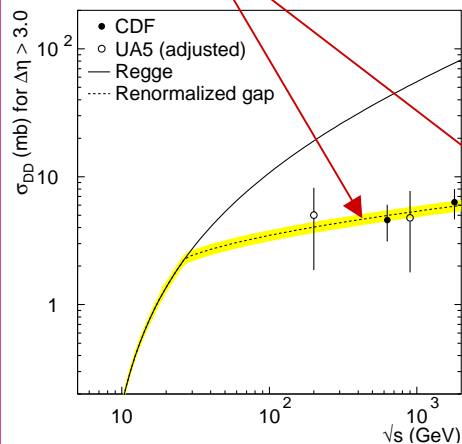
# Central and Double-Gap CDF Results

## Differential shapes agree with Regge predictions



## ➤ One-gap cross sections require renormalization

## ➤ Two-gap/one-gap ratios are $\approx \kappa = 0.17$



# Soft Double Pomeron Exchange

➤ Roman Pot triggered events

➤  $0.035 < \xi\text{-pbar} < 0.095$

$|t\text{-pbar}| < 1 \text{ GeV}^2$

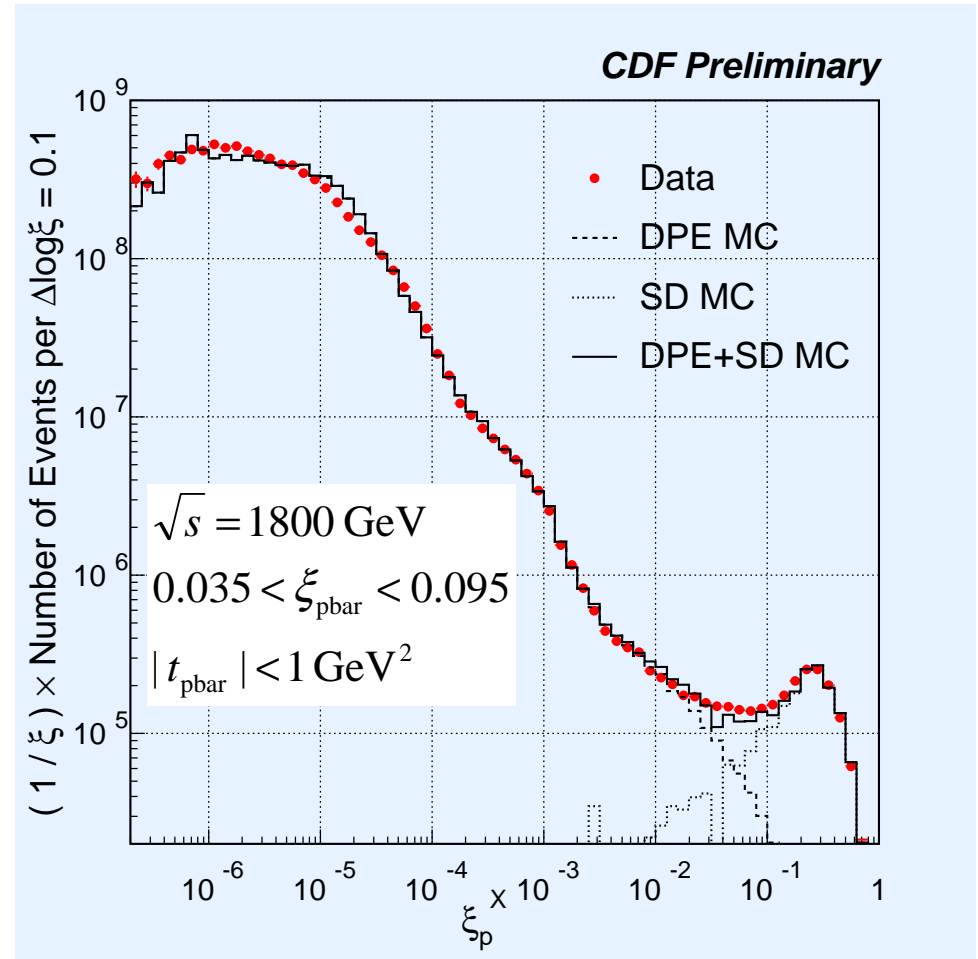
➤  $\xi\text{-proton}$  measured using

$$\xi_p = \frac{1}{\sqrt{s}} \sum_{\text{all particles}} E_T^i \cdot e^{\eta_i}$$

➤ Data compared to MC based on Pomeron exchange with

➔ Pomeron intercept  $\epsilon=0.1$

➤ Good agreement over 4 orders of magnitude!



# Soft Diffraction Summary

## Multigap variables

$\Delta y_i$  – rapidity gap regions

$\mathbf{K}$  – color factor = 0.17

$\Delta y'_j$  – particle cluster regions

also:

$t_i$  –  $t$ -across gap

$\eta_{i,j}^0$  – centers of floating gap/clusters

## Parton model amplitude

$$f(\Delta y, t) \propto e^{(\varepsilon + \alpha' t) \Delta y}$$

## Differential cross section

$$\prod_{i\text{-var}} \frac{d^{\text{var}} \sigma}{dV_i} = C \times F_p^2(t_1) \prod_{i\text{-gaps}} \left\{ e^{(\varepsilon + \alpha' t_i) \Delta y_i} \right\}^2 \times \mathbf{K}^n \left\{ \sigma_o e^{\varepsilon \sum_j \Delta y'_j} \right\}$$

Normalized gap probability

Sub-energy cross section

form factor for surviving nucleon

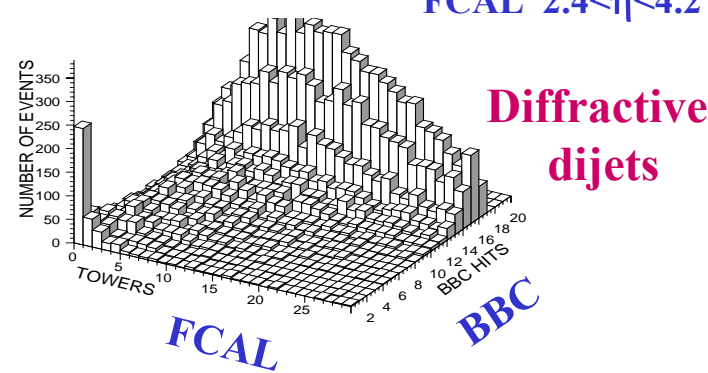
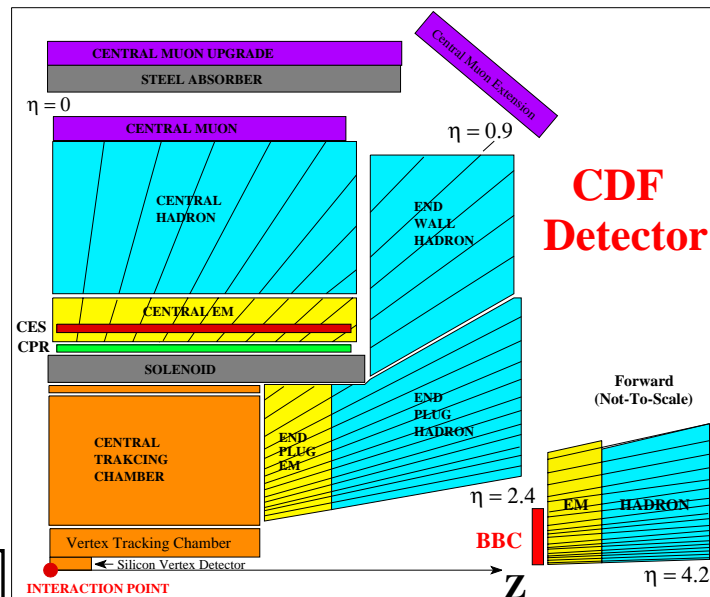
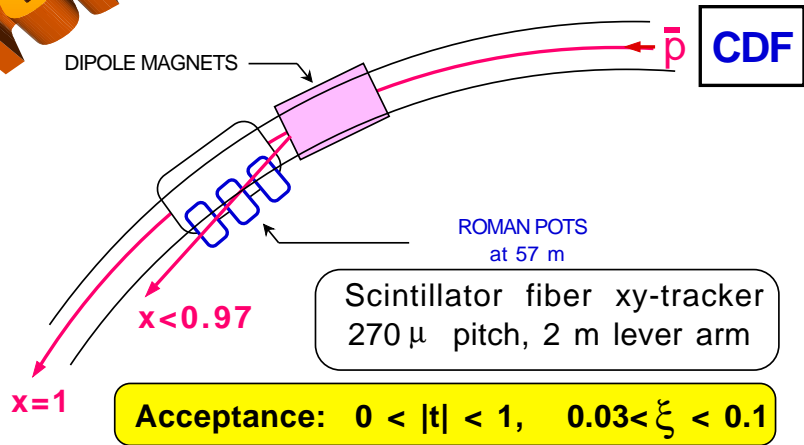
color factor: **one  $\mathbf{K}$  for each gap**

# Hard diffraction at CDF in Run I

## CDF Forward Detectors

Rapidity gaps

Anti-proton tag





# Hard Diffraction <sup>w</sup>/Rapgaps

## □ SINGLE DIFFRACTION

$$\bar{p}p \rightarrow X + \text{gap}$$

SD/ND gap fraction (%) at 1800 GeV

X	CDF	D0
W	1.15 (0.55)	
JJ	0.75 (0.10)	0.65 (0.04)
b	0.62 (0.25)	
J/ψ	1.45 (0.25)	

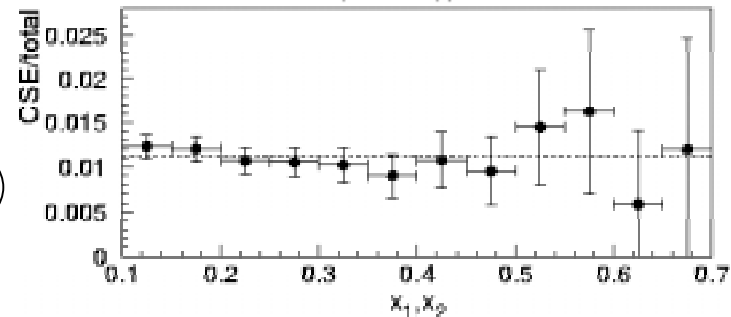
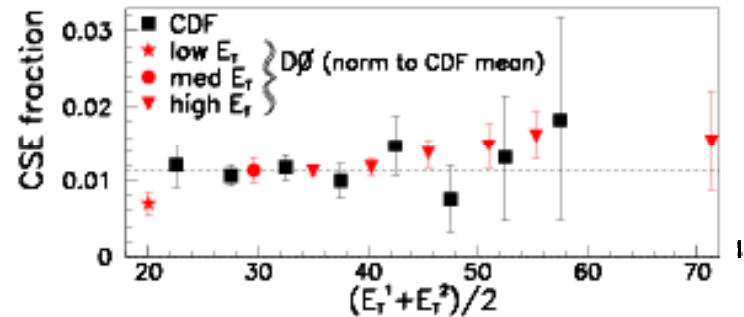
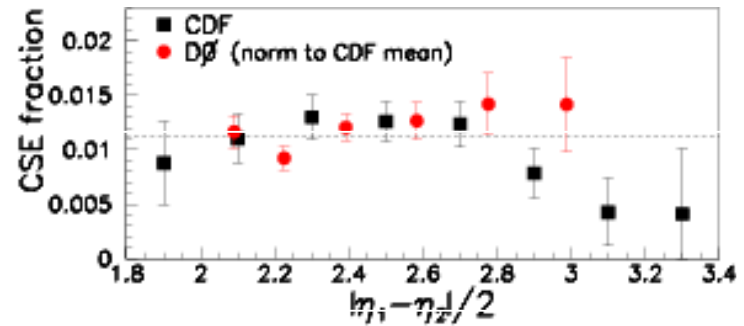
- All SD/ND fractions ~1%
- Gluon fraction  $f_g = 0.54 \pm 0.15$
- Suppression by ~5 relative to HERA

Just like in ND except for the suppression due to gap formation

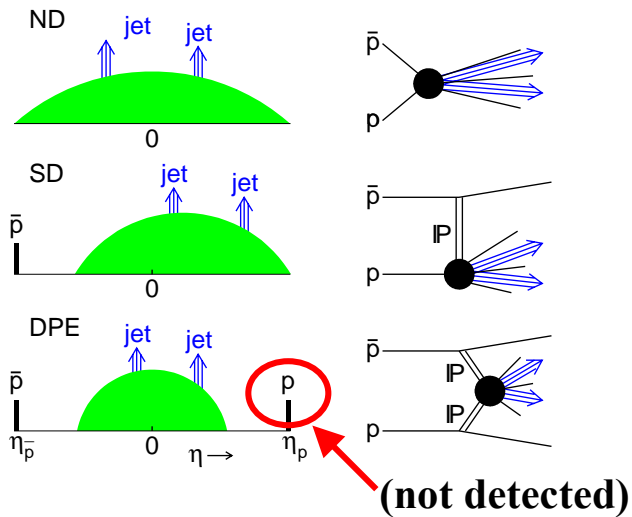
## □ DOUBLE DIFFRACTION

$$\bar{p}p \rightarrow \text{Jet} - \text{gap} - \text{Jet}$$

DD/ND gap fraction at 1800 GeV



# Diffractive Dijets with Leading Antiproton



## The diffractive structure function

$x_{Bj}^{\bar{p}}$  Bjorken-x of antiproton

$$x_{Bj}^{\bar{p}} = \frac{1}{\sqrt{s}} \sum_{\#jets} E_T^i e^{-\eta^i}$$

$F^{ND}(x, Q^2)$  Nucleon structure function

$F^{SD}(\xi, t, x, Q^2)$  Diffractive structure function

**ISSUES:** 1) QCD factorization  $> F^{SD}(\xi, t, x, Q^2)$  is  $F^{SD}$  universal?

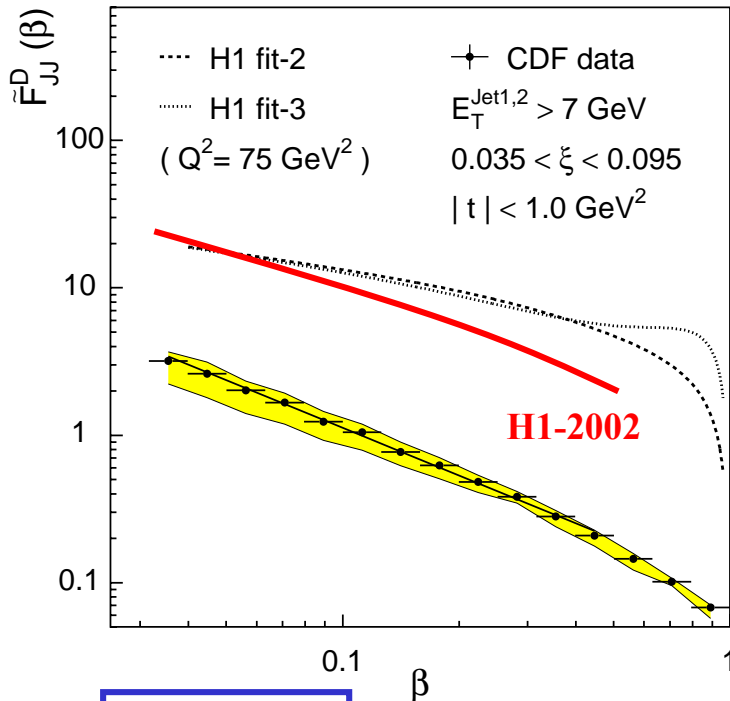
2) Regge factorization  $> F^{SD}(\xi, t, \beta, Q^2) = f_{IP-flux}(\xi, t) \times f_{IP}(\beta, Q^2)$  ?

$\beta \equiv x / \xi$  momentum fraction of parton in  $IP$

**METHOD** of measuring  $F^{SD}$ : measure ratio  $R(\xi, t)$  of SD/ND rates for given  $\xi, t$   
 set  $R(\xi, t) = F^{SD} / F^{ND}$   
 evaluate  $F^{SD} = R * F^{ND}$

# Dijets in Single Diffraction - Data

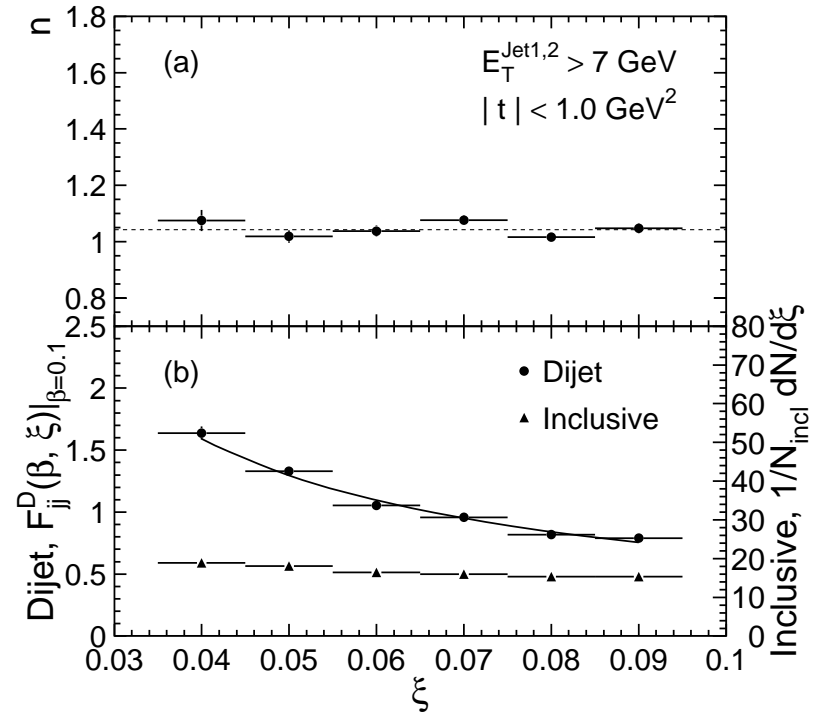
## Test QCD factorization



$$F_{JJ}^D(\beta)$$

suppressed at the Tevatron  
 relative to extrapolations  
 from HERA parton densities

## Test Regge factorization



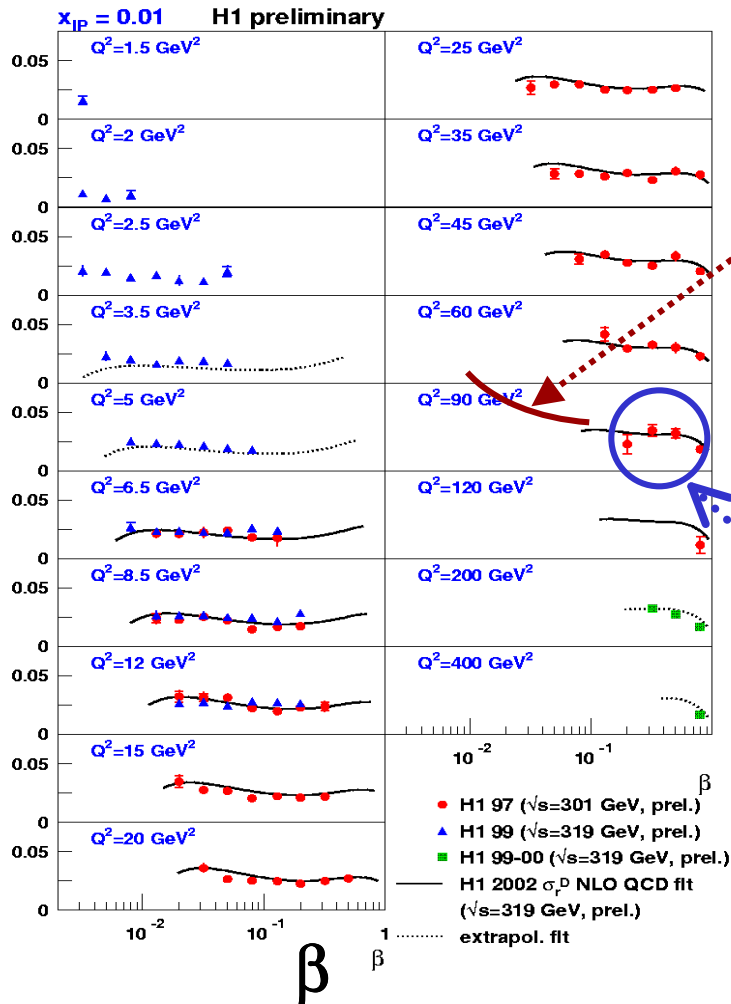
$$F_{JJ}^D(\xi, \beta) = C \beta^{-n} \xi^{-m}$$

**Regge factorization holds**

$m \approx 1 \Rightarrow$  Pomeron exchange

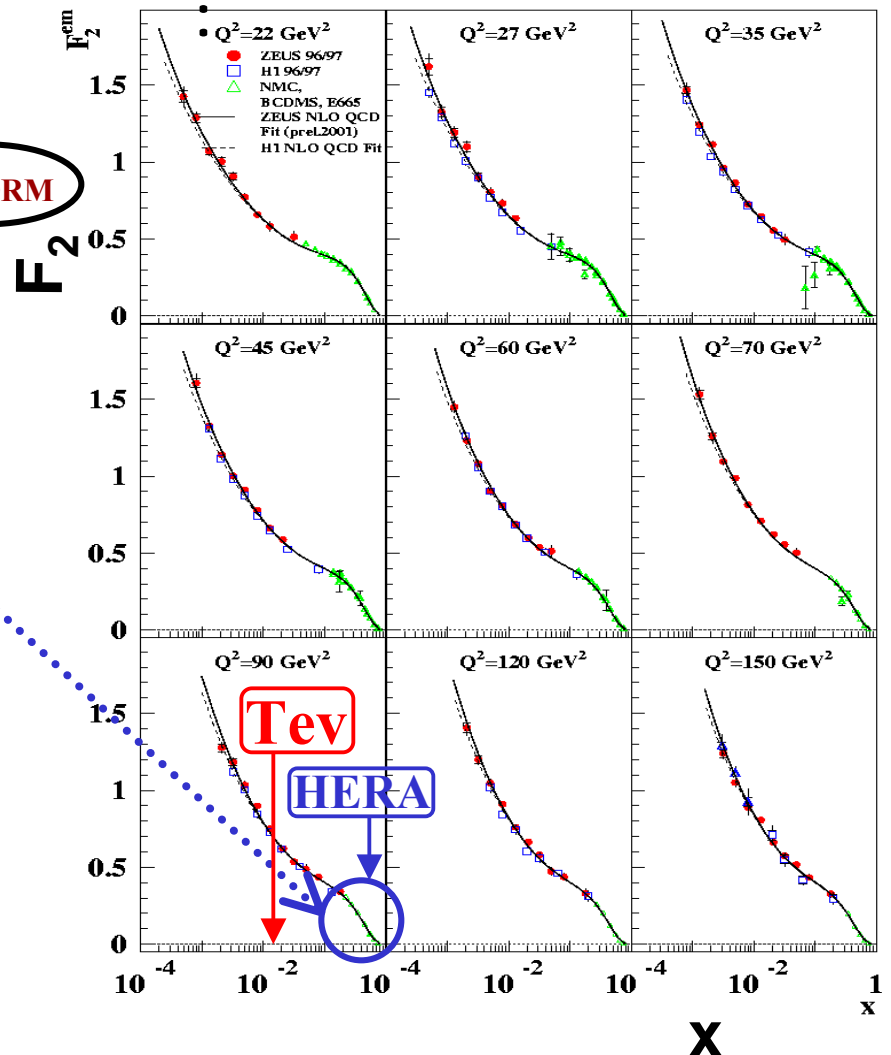
# DDIS vs DIS at HERA

## Pomeron:



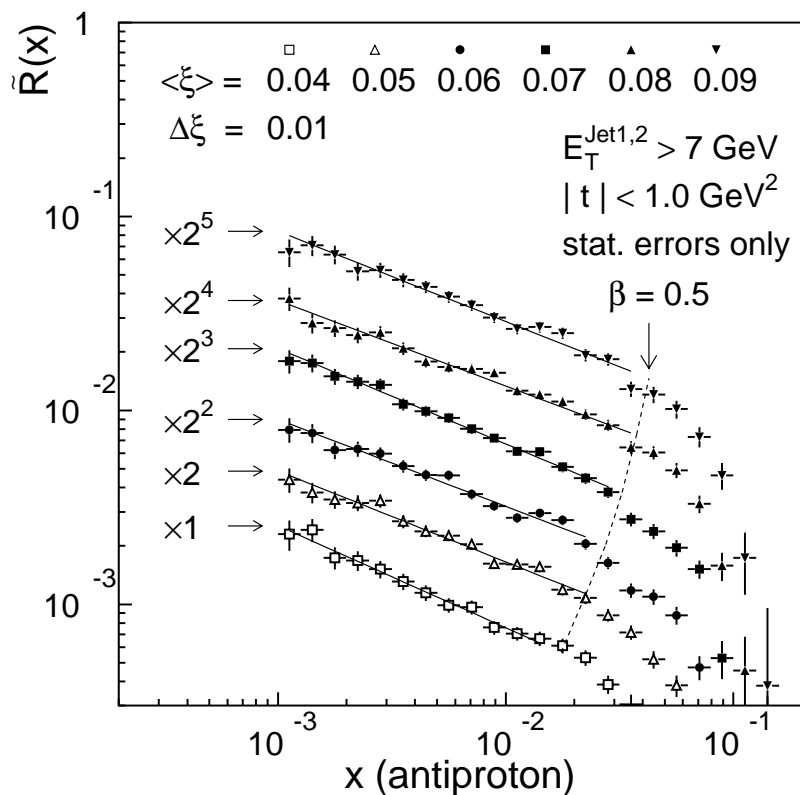
## Proton

ZEUS+H1



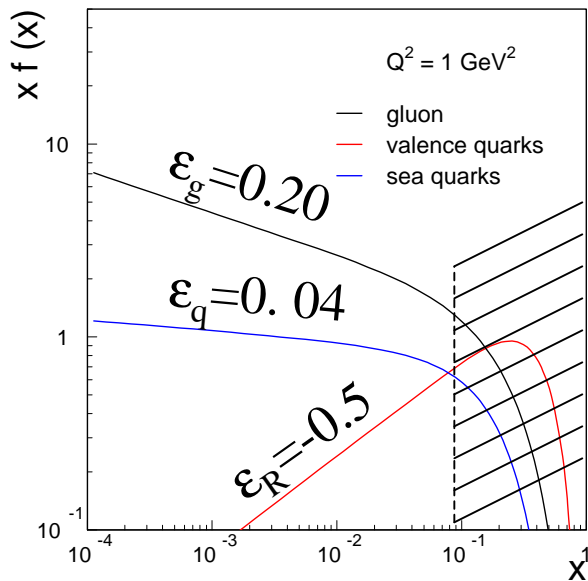
# Dijets in Single Diffraction – R(x)

$$R(x) = \frac{F_{jj}^{SD}(x)}{F_{jj}^{ND}(x)}$$



$$R(x) \Big|_{0.035 < \xi < 0.095} = \frac{(6.1 \times 10^{-4})}{x^{0.45}}$$

# R(x) predicted from pronton PDFs



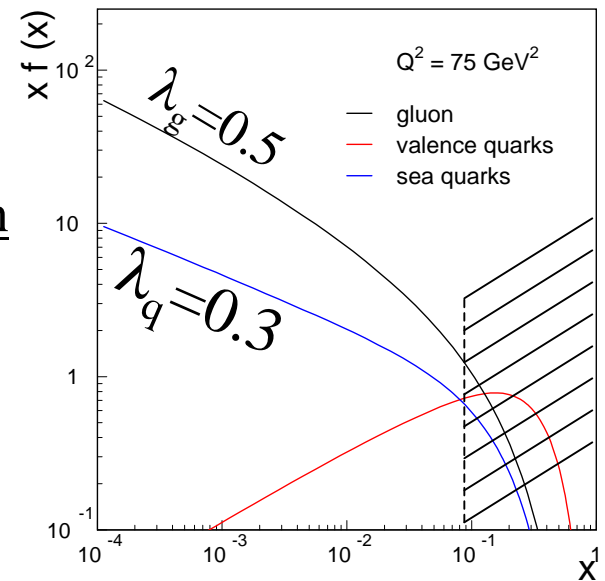
$$x \cdot f(x) = \frac{1}{x^\epsilon}$$

Power-law region

$$\xi_{\max} = 0.1$$

$$x_{\max} = 0.1$$

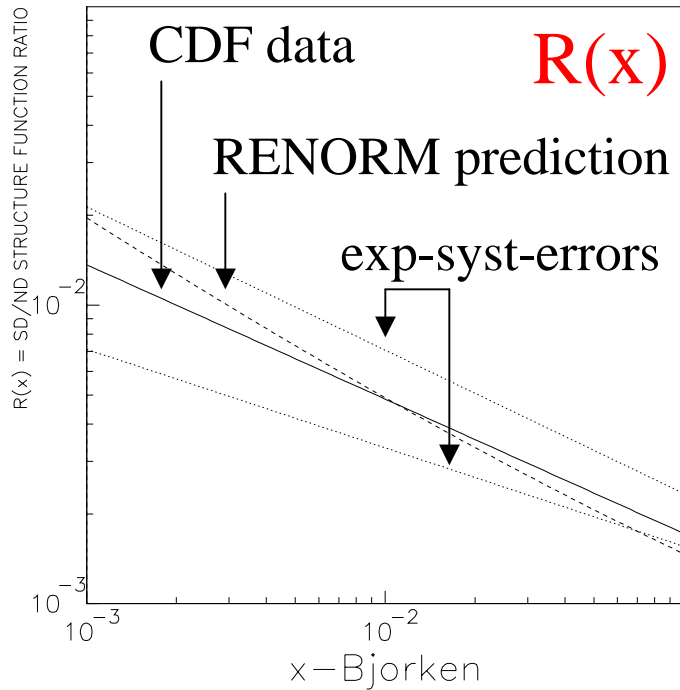
$$\beta < 0.05\xi$$



$$F^D(q^2, x, \xi) \propto \frac{1}{\xi^{1+\epsilon}} \cdot F^{ND}(q^2, x) \sim \frac{1}{\xi^{1+\epsilon}} \cdot \frac{C(q^2)}{(\beta\xi)^{\lambda(q^2)}} \Rightarrow \frac{A_{\text{NORM}}}{\xi^{1+\epsilon+\lambda}} \cdot \kappa \cdot \frac{C}{\beta^\lambda}$$

$$A = 1 / \int_{\xi_{\min}}^{\xi=0.1} \frac{d\xi}{\xi^{1+\epsilon+\lambda}} = (\epsilon + \lambda) \left( \frac{M_{jj}^2}{\beta x_{\max} s} \right)^{\epsilon + \lambda} \Rightarrow R_{jj} = \frac{A}{\xi^{1-\lambda}} \cdot \frac{1}{x^{\epsilon+\lambda}}$$

# RENORM prediction of $R(x)$ vs data



□ Ratio of diffractive to non-diffractive structure functions is predicted from PDF's and color factors with no free parameters.

→  $F_{jj}(\beta, \xi)$  correctly predicted

→ Test: processes sensitive to quarks will have more flat  $R(x)$  – diff W?

$$R(x) \Big|_{0.035 < \xi < 0.095}^{\text{DATA}} = \frac{(6.1 \times 10^{-4})}{x^{0.45}}$$

$$R(x) \Big|_{0.035 < \xi < 0.095}^{\text{RENORM}} \approx \frac{(4.0 \times 10^{-4})}{x^{0.55}}$$

# HERA vs Tevatron

$$F^D(q^2, \beta, \xi) \xrightarrow{\text{TEVATRON}} (\varepsilon + \lambda) \left( \frac{M_{jj}^2}{\beta x_{\max} s} \right)^{\varepsilon + \lambda} \frac{1}{\xi^{1+\varepsilon+\lambda}} \cdot \kappa \cdot \frac{C}{\beta^\lambda}$$

normalized gap probability

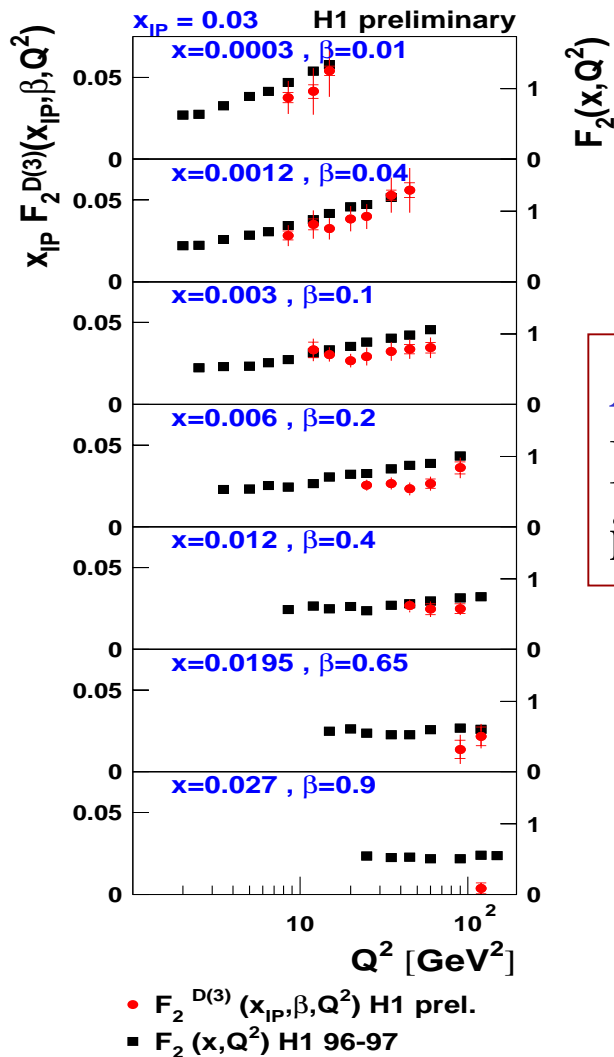
$$F^D(q^2, \beta, \xi) \xrightarrow{\text{HERA}} 0.76 \times \frac{1}{\xi^{1+\varepsilon+\lambda}} \cdot \kappa \cdot \frac{C}{\beta^\lambda}$$

Pomeron flux

<u>RENORM PREDICTIONS</u>			
	<u>HERA</u>	<u>Tevatron</u>	<u>Tev/HERA</u>
$(\varepsilon + \lambda)$ _effective	--	0.55	--
Normalization	0.76	0.042	<u>0.06</u>
$R(x) = F^D(x)/F(x)$	<u>flat</u>	$x^{-(\varepsilon + \lambda)}$ _eff	$\simeq x^{-0.5}$
$\varepsilon_{\text{eff}} = [\varepsilon + \lambda(Q^2)]/2$	<u>~ 0.2</u>	--	--



# $F_2^D(x, Q^2)$ vs $F_2(x, Q^2)$ at HERA

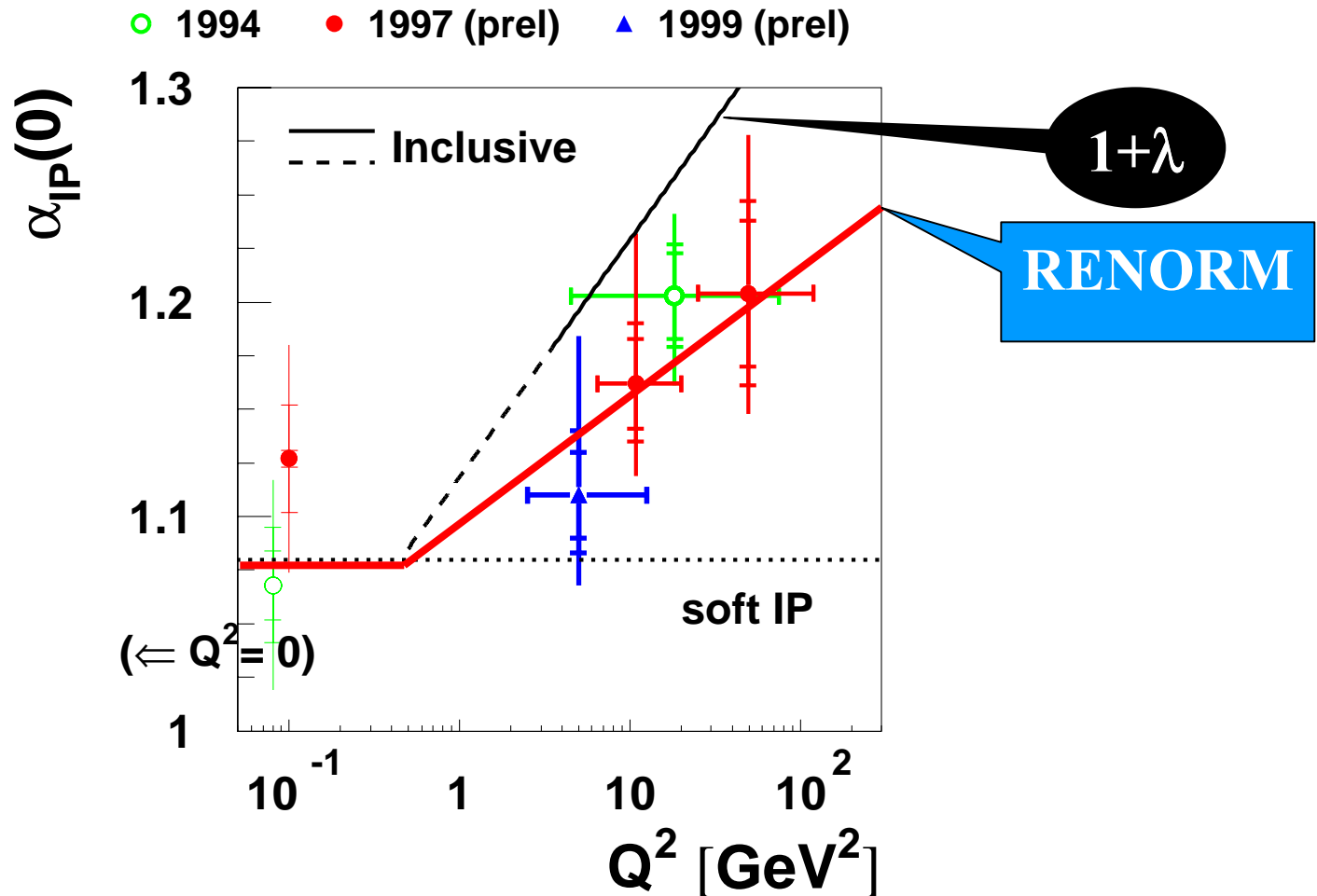


At fixed  $x_{IP}$ :

$F_2^D(x, Q^2)$  evolves like  $F_2(x, Q^2)$   
 independent of the value of  $x$

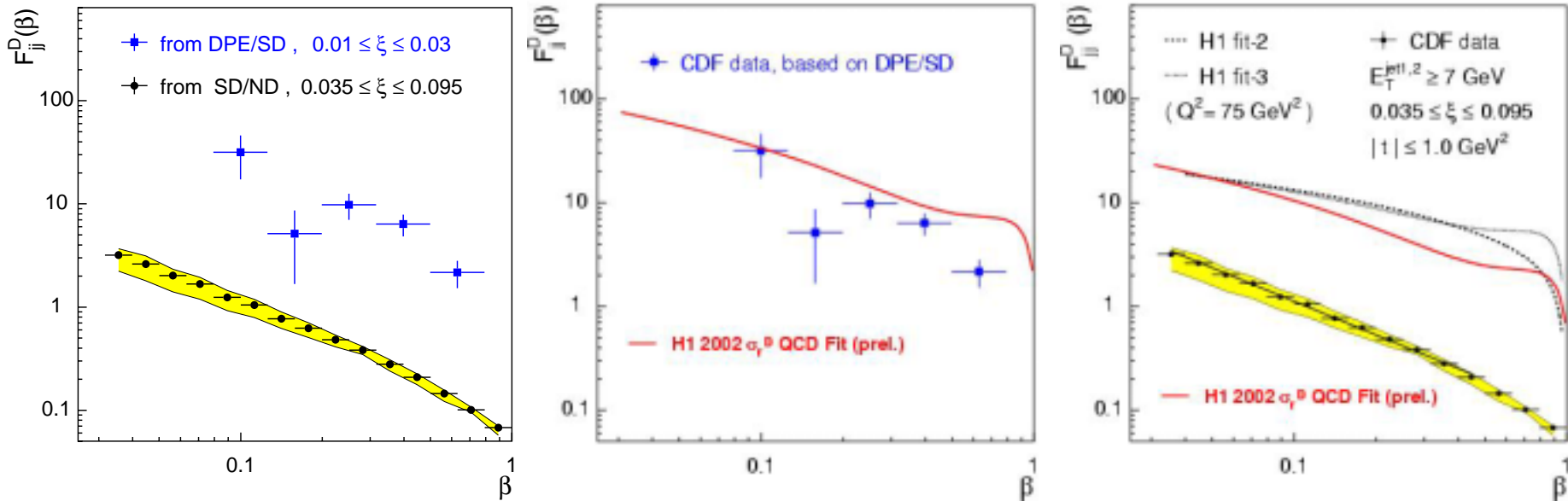
# Pomeron Intercept in DDIS

## H1 Diffractive Effective $\alpha_{\text{IP}}(0)$





# DSF: Tevatron double-gaps vs HERA



**The diffractive structure function derived from double-gap events approximately agrees with expectations from HERA**

# SUMMARY

## Soft and hard conclusions



- Use reduced energy cross section
- ☞ Pay a color factor  $\mathbf{K}$  for each gap
- Get gap size from renormalized  $\mathbf{P}_{\text{gap}}$

**Diffraction is an interaction between low-x partons subject to color constraints**